1743

Inos?

Inder the Paperwork Reduction Act of 1995, no persons are required to respond to a

PTO/SB/21 (02-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
o a collection of information unless it displays a valid OMB control number.

	Application Number	09/759,711									
TRANSMITTAL	Filing Date	January 12, 2001									
PR 2 6 2004 별 FORM	First Named Inventor	Douglas N. Modlin									
(to be used all correspondence after initial filing)	Art Unit	1743									
e manufacture	Examiner Name	Jeffrey R. Snay									
Total Number of Pages in This Submission 4+	Attorney Docket Number	LJL 109A1									
ENCLOSURES (Check all that apply) After Allowance communication											
Fee Transmittal Form	Drawing(s)	to Technology Center (TC)									
Fee Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences									
Amendment/Reply	Petition	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)									
After Final	Petition to Convert to a Provisional Application	Proprietary Information									
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Addre	Status Letter									
Extension of Time Request	Terminal Disclaimer	Other Enclosure(s) (please Identify below):									
Express Abandonment Request	Request for Refund	1. PTO-1449 form (1 page)									
Information Disclosure Statement	CD, Number of CD(s)	2. 10 U.S. patents									
(Second Supplemental)											
Certified Copy of Priority Document(s)											
Response to Missing Parts/											
Incomplete Application											
Response to Missing Parts under 37 CFR 1.52 or 1.53											
SIGNATURE	OF APPLICANT, ATTORN	EY OR AGENT									
Firm James R. Abney, Esq.		LI, ON AGENT									
or Individual name Kolisch Hartwell, P.C.	^										
Signature Hamas R. G	()4 0										
Date April 23, 2004	and the same of th										
· · · · · · · · · · · · · · · · · · ·	CATE OF TRANSMISSION										
I hereby certify that this correspondence is being fact sufficient postage as first class mail in an envelope a the date shown below.	simile transmitted to the USPTO or ddressed to: Commissioner for Pat	deposited with the United States Postal Service with ents, P.O. Box 1450, Alexandria, VA 22313-1450 on									
Typed or printed name Renee Knight											
Signature IQUE CUL	W	Date April 23, 2004									
											

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



DOUGLAS N. MODLIN

Date: April 23, 2004

Examiner Jeffrey R. Snay

Serial No.

09/759,711

Group Art Unit 1743

Filed

January 12, 2001

For

EVANESCENT FIELD ILLUMINATION

DEVICES AND METHODS

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97, and 1.98

Applicant is submitting this Second Supplemental Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98 to disclose to the U.S. Patent and Trademark Office the patents, publications, applications, and/or other references listed on the enclosed, completed PTO-1449 form(s). The filing of this Second Supplemental Information Disclosure Statement should not be construed as a representation that a search has been made or as an admission that the listed references are prior art for this application. Applicant respectfully requests that the listed references be expressly considered during prosecution of the application, and that the references be made of record therein and appear among the "references cited" on any patents issuing therefrom.

CONTENT OF DISCLOSURE

This Second Supplemental Information Disclosure Statement includes (1) one page of PTO-1449 forms, and (2) a legible copy of each reference listed on the form(s).

MING OF DISCLOSURE / FEE INFORMATION

This Second Supplemental Information Disclosure Statement is being filed, to the best of the undersigned's knowledge, either (1) before the mailing of a first Office action on the merits, or (2) before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. § 1.114. Moreover, in accordance with 37 C.F.R. § 1.97(e)(2), the undersigned states that no item of information contained in this Second Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Second Supplemental Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Second Supplemental Information Disclosure Statement. Therefore, in accordance with 37 C.F.R. §§ 1.97(b)(3), (b)(4), or (c)(1), no fee is required.

Please contact the undersigned with any questions or comments regarding this Second Supplemental Information Disclosure Statement.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on April 23, 2004.

Respectfully submitted,

KOLISCH HARTWELL, P.C.

James R. Abney

Registration No. 42,253

Customer No. 23581

520 S.W. Yamhill Street, Suite 200

Portland, Oregon 97204 Telephone: (503) 224-6655

Facsimile: (503) 295-6679

Attorney for Assignee

	APR 2 6 2	3C/12 30/									
FORM PTO-1449			1	DOCKET NUMBER LJL 109A1			SHEET 1 OF 1 APPLICATION NUMBER 09/759,711				
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		APPLICANT Douglas N. Modlin									
		FILING DATE January 12, 2001			GROUP ART UNIT - 1743						
		U.S. PAT	EN'	Γ DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE		NAME		CLA	ss	SUE CLAS		FIL. DATE IF APPROP.	
	5,532,128	7/2/96	Eggers et al.								
	5,858,804	1/12/99	Zanzucchi et al.								
	6,106,784	8/22/00	Lund et al.								
	6,376,233	4/23/02	W	olf et al.							
	6,602,714	8/5/03	Tagge et al.								
	6,613,285	9/2/03	C	Carnahan							
	6,635,470	10/21/03	V	Vann							
	6,649,357	11/18/03	B	yan et al.							
	6,668,230	12/23/03	M	ansky et al.							
	6,670,115	12/30/03	Zl	nang		,					
	<u> </u>	FOREIGN P.	 ATI	ENT DOCUMENT	ΓS						
	DOCUMENT NUMBER	DATE		COUNTRY	CLA	CLASS S			TRAN YES	TRANSLATION S NO	
			<u> </u>					\perp			
							·	_			
		OTHE	 R D	OCUMENTS	<u> </u>						
EXAMINER				DATE CONSIDERED							